

Leadership Committee

Committee Members

Chair: Petal Walker

Committee Members: Deborah North, Kyle Brandon, Karen Wares, Claudia Dowling, Tracey Rucker Wilson, Jackie Mesa, Cassandra Tok, Marisol Collazo, Joyce Thormann

Goals (1/23-12/23)	Leads	KPIs	Plan Date	Rating	Trend
Establish Cohorts	Claudia, Kyle, Tara, Julie, Ying, Deborah	<ul style="list-style-type: none"> Finalize lists of cohorts Host social/support/other events for the 3 cohorts 	Q1		
Continue Cohort Program			Q2		
Establish Allyship training	TRW, Karen	<ul style="list-style-type: none"> Conduct training sessions for Allies 	Q2		
Establish Wolfpacks	Petal, Karen	<ul style="list-style-type: none"> Establish Wolfpacks Host facilitator training 	Q2		
Revise Scholarship Offerings			Petal, Jackie, Deborah	Q2	
Payout Scholarship Offerings			Q3		
Start Curriculum	Claudia, Kyle, Tara, Julie, Ying, Deborah	<ul style="list-style-type: none"> Start curriculum classes 	Q2		

Executive Summary on Progress

Cohort Program: TBD

Allyship Program: TBD

Wolfpack Program: TBD

Scholarship Offerings: TBD

Curriculum: TBD

Mentoring: TBD

Events Committee

Committee Members

Co-Chairs: Ying Cao, Tracy Rucker-Wilson

Committee Members: Kyle Brandon, Deborah North, Susi de Verdelon, Jackie Mesa, Petal Walker, Cassandra Tok, Marisol Collazo

Goals (1/2023-12/2023)	Leads	KPIs	Plan Date	Rating	Trend
1. Sponsor - Deliver high quality industry-related Marquee/large events to WIND sponsors	Ying/TRW	<ul style="list-style-type: none"> Partner with WIND gala sponsors to deliver 6 high quality events this year <ul style="list-style-type: none"> Diamond (\$25k budget each) – Citadel, Nomura Platinum (\$10k budget each) – BAML, BBG, DTCC, LCH, S&P 	Jan 2023		
2. Industry - Sponsor/participant key industry events	Kyle/Deborah/others	<ul style="list-style-type: none"> SIFMA Laguna lunch Other industry events (ISDA, Eurex derivatives forum) 	Jan 2023		
3. Expansion - Deliver virtual/regional small scale events to expand WIND membership and engage with WIND members outside of LDN and NYC	tbd	<ul style="list-style-type: none"> Engage with partners and/or local WIND ambassadors/members to organize 4 smaller scale events outside of NYC/LDN and attract new WIND members to increase our membership 	Jan 2023		
4. All-member - Partner with leadership committee to deliver all-membership events to all WIND members	tbd	<ul style="list-style-type: none"> 2 WIND ambassadors to own the executions of the all-member leadership events. (ideals + execution) Baseline - host 1 all-membership leadership event (in-person or virtual serious) per quarter (4 in total) 	Jan 2023		

Executive Summary on Progress (Dec/Jan 2023)

- We have a great start of 2023 engaging with WIND gala sponsors to partner on 2023 events – so far, we have 11 events lined up, engaged with 4 WIND gala sponsors (DTCC, LSEG, BAML, BBG) and will reach out to Nomura, Citadel, S&P to confirm events for them.
- We got obtained sponsorship from Cleary for the laguna lunch and will host a 4 speaker virtual series to celebrate Women History Month in March.
- We are in discussion to host a mini-gala before 2023 gala events to engage with our sponsors and use this opportunity to attract future sponsors.
- We have planed to leverage the WIND ambassadors to take the lead for all-member leadership event in 2023 and leverage this group to execute WIND events throughout the year.

Discussion Items

- Any feedback on event 2023 planned activities

Events Pipeline

Confirmed	Date	Event	Goal	Budget/Actual Cost	Location	Sponsor	WIND Lead
Yes	Feb 8, 11:30-1 pm	Washington Update, SIMFA am Deriv. Forum	2- industry; 3- expansion		Dana Point, CA	Cleary (Event sponsor, \$10k)	Kyle/Deborah
Yes	End of Feb	Derivatives Masterclass, Sam	1- sponsor	\$10k/tbd	LSEG/NYC	LSEG	Lona
tbc	Feb/Mar	New York law school masterclass	3- expansion		NYC		Marisol
Yes	Mar	Women history month speaker series – featuring WIND gala winners – 4 weekly virtual webinar	4- all member		Virtual		Tbd – WIND ambassadors
Yes	Mar 22, 9:15-10:15 am	Eurex Deriv. Forum Frankfurt 2023	2- industry; 3- expansion		Frankfurt, Germany		Melody
Yes	Mar 27	Celebrating WHM panel	1- sponsor	\$10k/tbd	Bank of America/NYC	Bank of America	Karen
tbc	Apr	SFT/Derivatives	1- sponsor	\$10k/tbd	NYC	DTCC	Marisol
tbc	May 10th	ISDA AGM Conference	2- industry; 3- expansion		Chicago		TJ
Yes	May 31st	Risk management panel	1- Sponsor	\$10k/tbd	NYC	Bloomberg	TRW
	Tbd	Nomura marquee event	1- Sponsor	\$25k/tbd		Nomura	
	Tbd	Citadel marquee event	1- Sponsor	\$25k/tbd		Citadel	
	Tbd	S&P event	1- Sponsor	\$10k/tbd		S&P	
	Tbd	All member leadership event					
	Tbd	Mini-gala for sponsors	1- Sponsor	\$5k			Melody
	Tbd	Other regional small scale events to expand WIND membership and outreach	3- expansion				

Finance Committee

Committee Members

Chair: Julie Winkler

Committee Members: Marisol Collazo, Cassandra Seier, Margoth Pilla, & Tracy Wilson

Goals (2022-2023 goals)	Leads	KPIs	Plan Date	Rating	Trend
Work closely with the Fundraising Committee to align on revenue goals and collect sponsorship funds	Events committee	Meet or exceed base case \$40k in fundraising to support marquee events throughout the year.	All year		
Propose and maintain oversight of budget and finances for WIND. Work collaboratively with other Committees to support their financial needs	Margoth	Meet our budget for 2022-2023 year	All year		
Complete tax filings and maintain not-for-profit status	Margoth	Ensure fillings are done on time	All year		

Executive Summary on Progress

- Update on 2023 budget to be shared at Jan Board meeting
- Working with Leadership Committee and Events Committee on 2023 expense budget
- Planning has begun for 2023 Gala. Finance Committee is working with Fundraising Committee on estimated expenses

Noteworthy Items

- None

Nominating Committee

Committee Members

Chair: Jackie Mesa

Committee Members: Tracey Jordal, Cassandra Tok, Marisol Collazo

Goals (2023 goals)	Leads	KPIs	Plan Date	Rating	Trend
Add 4 more Board Members bringing Board to 20 members	Mesa	Increase in size of Board Members YOY	Q4		
Continue engagement of Advisory Council	Jordal	Adding 2-3 more to Advisory Council bringing total to 5	Q1-Q4		
Succession Planning for Board Leadership Roles	Collazo	Roll out of succession plan	Q3-Q4		
Engage and grow WIND Ambassadors	Mesa/Tok/Collazo/Jordal	3-5 minimum added to create a WIND Ambassadors	Q1-Q2		

Progress Report

- Total of 16 WIND board members. We have reached the goal in board member seats, however, we noted the need to have more members from the UK/EU and members with expertise in marketing/communication skills. The committee is presenting a candidate that we believe fulfills the expertise in marketing/communication during the Jan board meeting.
- Advisory Council (Dawn Stump, Angie Karna; Rashana Bhide, Georgie Dickins, Kay Swinburne and Supurna Vedbrat) had their first meeting in September and have additional meetings scheduled for May 2 and Oct. 25, 2023.
- Continued succession planning and discussion ongoing.
- WIND Ambassadors are engaged to assist with programming and other WIND ideas. Each Ambassador assigned to 1-2 Committees. We plan to invite 4 new ambassadors in January – Tara Pardo, Laura Gardner, Molly Decker, Diana Eskler.

Key Issues

- Board members and Committee Leads should be finding ways to involve Ambassadors.
- Any experience yet that the board would like to report n use of Ambassadors?

Fundraising Committee

not updated for Q1 2023 Meeting

Committee Members

Co-Chairs: Karen Wares and Julie Winkler

Committee Members: Cassandra Seier, Susi De Verdelon, Margoth Pilla, Tara Dziedzic & Marisol Collazo

Goals (2021-2022 goals)	Leads	KPIs	Plan Date	Rating	Trend
Wellness Charity Fundraiser	Karen	Plan and execute a charitable fundraiser in Q1 with a goal of \$1k.	Q1 2022 - Completed		
Recruit, Hire and Onboard Head of Membership	Marisol, Cassandra	Onboard of resource in Q1	Q1 2022 - New hire started		
Plan, fundraise and host WIND Annual Gala 2022	Karen, Julie, Cassandra	Stretch goal of \$500k Gala income with \$310K as base case by attracting corporate sponsors. Estimate ~125-150 in person attendees.	Q3 2022		
Obtain Corporate Sponsors for Marquee events: DC update, Macro event, X-border derivatives update, Regulatory update	Events committee	Meet or exceed base case \$40k in fundraising to support marquee events throughout the year.	All year		
Propose and maintain oversight of budget and finances for WIND. Work collaboratively with other Committees to support their financial needs	Margoth	Meet a balanced budget for 2021-2022 year while adding full-time staff and exceeding our fundraising goals	All year		

Executive Summary on Progress

- Update on 2022 budget to be shared at Nov Board meeting and a proposed 2023 budget
- Gala stretch goal has been exceeded with \$625,000 committed among 37 sponsors!!!
- Planning has begun for 2023 Gala including taking on the feedback from the 2022 event

Noteworthy Items

- Detailed update on Gala to be provided at Nov Board meeting
- Need to work with Committee Chairs to further refine 2023 budget (larger expense allocation expected in 2023 includes: higher Gala expenses, Leadership programming scaling, more events (including expansion to Europe), marketing assistance and re-branding, and enhanced WIND CONNECT offering

Communications Committee

not updated for Q1 2023 Meeting

Committee Members (5)

Chair: Lona Mozumder

Committee Members: Tracey Jordal, Ying Cao, Cassandra Tok, Marisol Collazo, Julie Winker

Goals (2022 goals)	Leads	KPIs	Plan Date	Rating	Trend
Gala Preparation	Lona	Gala marketing, LinkedIn, Web, Email	Complete		
Women's History Month	Lona	LinkedIn engagement, Video content, Email marketing, membership engagement	Complete		
Conference partnerships	Lona	FIA, SIFMA, ISDA	Ongoing		
Scholarship Program, Wolfpack	Lona	All form submission through Formstack, LinkedIn engagement	Complete		
LinkedIn Membership Growth	Lona	Page views, Unique visitors, Followers	Ongoing		

Progress Report:

1. Completion of successful event at NYSE with Dr. Forbes and Subadra Rajappa has been confirmed as the moderator, marketing material being worked on
2. Derivatives Masterclass with Sam Priyadarshi: We will do in person ONLY, no virtual attendees, this will now be a joint event with LSEG (platinum sponsor at gala). Need to iron out details with John Horkan at LSEG
3. FIX Trading event : First time ever with a booth

Key projects:

- Holiday newsletter
- Scaling and updating the website
- Branding : Next big project for Digital Strategy team