

Nominating Committee

Committee Members

Chair: Jackie Mesa

Committee Members: Tracey Jordal, Cassandra Tok, Marisol Collazo

Goals (2023 goals)	Leads	KPIs	Plan Date	Rating	Trend
Add 4 more Board Members ultimately bringing Board to 20 members	Mesa	Increase in size of Board Members YOY	Q4		
Continue engagement of Advisory Council	Jordal	Adding 2-3 more to Advisory Council bringing total to 5	Q1-Q4		
Succession Planning for Board Leadership Roles	Collazo	Roll out of succession plan	Q3-Q4		
Engage and grow WIND Ambassadors	Mesa/Tok/Collazo/Jordal	3-5 minimum added to create a WIND Ambassadors	Q1-Q2		

Progress Report

- Total of 15 WIND board members in 2024 (Ying will roll off). We continue to prioritize new board members from the UK/EU and at least one more buy-side member. We recently interviewed and discussed over 15 possible board members. The process continues to narrow that list and have one-on-one discussions with short list.
- Advisory Council (Dawn Stump, Angie Karna; Rachana Bhide, Georgie Dickins, Kay Swinburne and Supurna Vedbrat) have next meeting scheduled for Oct. 25, 2023.
- Continued succession planning and discussion ongoing.
- WIND Ambassadors are engaged to assist with programming and other WIND ideas. Each Ambassador assigned to 1-2 Committees.. Ambassadors participated successfully in Women's History Month webinars and helping with London programming.

Key Issues

- Board members and Committee Leads should be finding ways to involve Ambassadors.
- Need to add board members with regional diversity and buy-side. We have also identified a need for more trading expertise. We have one person that could cover this area but if board members have ideas, please let us know.

Events Committee

Committee Members

Co-Chairs: Tracy Rucker-Wilson, Bari Trontz

Committee Members: Kyle Brandon, Deborah North, Jackie Mesa, Petal Walker, Cassandra Tok, Marisol Collazo

Goals (1/2023-12/2023)	Leads	KPIs	Plan Date	Rating	Trend
1. Sponsor - Deliver high quality industry-related Marquee/large events to WIND sponsors	TRW/Trontz	<ul style="list-style-type: none"> Partner with WIND gala sponsors to deliver 6 high quality events this year <ul style="list-style-type: none"> Diamond (\$25k budget each) – Citadel, Nomura Platinum (\$10k budget each) – BAML, BBG, DTCC, LCH, S&P 	All year		
2. Industry - Sponsor/participant key industry events	Kyle/Deborah/others	<ul style="list-style-type: none"> FIA SIFMA AGM, ISDA AGM, Eurex derivatives forum 	All year		
3. Expansion - Deliver virtual/regional small scale events to expand WIND membership and engage with WIND members outside of LDN and NYC	tbd	<ul style="list-style-type: none"> Engage with partners and/or local WIND ambassadors/members to organize 4 smaller scale events outside of NYC/LDN and attract new WIND members to increase our membership 	All year		
4. All-member - Partner with leadership committee to deliver all-membership events to all WIND members	TRW/Trontz	<ul style="list-style-type: none"> 2 WIND ambassadors to own the executions of the all-member leadership events. (ideals + execution) Baseline - host 1 all-membership leadership event (in-person or virtual serious) per quarter (4 in total) 	All year		

Executive Summary on Progress (Q32023)

- We have started to leverage WIND ambassadors – Katherine Oliverio orchestrated the planning for the September Series.
- Two-Part Virtual September Series: **Navigating Imposter Syndrome** with [Dr. Mandy Lehto](#) on Sept 14, 12-1 PM EST and **Effective Communication** with [Sahar Paz](#) on Sept 28, 12-1 PM EST. Effective Communication with Sahar Paz, Founder & CEO of Own Your Voice Strategy Firm
- Drafting protocol and process for evaluating new events

Discussion Items

- Any feedback on event 2023 planned activities

Finance Committee

Committee Members

Chair: Julie Winkler

Committee Members: Marisol Collazo, Cassandra Seier, Margoth Pilla, & Tracy Wilson

Goals (2022-2023 goals)	Leads	KPIs	Plan Date	Rating	Trend
Work closely with the Fundraising Committee to align on revenue goals and collect sponsorship funds	Events committee	Meet or exceed base case \$40k in fundraising to support marquee events throughout the year.	All year		
Propose and maintain oversight of budget and finances for WIND. Work collaboratively with other Committees to support their financial needs	Margoth	Meet our budget for 2022-2023 year	All year		
Complete tax filings and maintain not-for-profit status	Margoth	Ensure fillings are done on time	All year		

Executive Summary on Progress

- As of 8/30, we are tracking \$135K shy of our Gala fundraising goals so highlighting this goal as yellow. Sponsors continue to come in, but we only have a couple of more weeks before our Sept 15th deadline.
- Gala expenses are accruing, as planned.
- Margoth has successfully moved our cash on hand into a Vanguard money market fund to increase our return.
- It is almost time to begin working on 2024 budget so Committee chairs should consider what is on the horizon.

Noteworthy Items

- Need everyone to reach out to sponsors in order to try to hit our Gala fundraising target.

Europe/UK Committee

Committee Members

Co-Chairs: Tracey Jordal, Susi de Verdelon

Members: Simona Composta, Vanessa Battaglia, Nafisa Yusuf

WIND Liason: Alexa Simone

Spend YTD: 0 (vs Budget of GBP 15k excl Post-Gala event)

Goals (2023)	Leads	KPIs	Plan Date	Rating	Trend
A) Create a separate “first links” list for UK/EU members: <ul style="list-style-type: none"> Board or committee members On cohort programmes currently On cohort programmes historically Historic applicants or winners of WIND awards Any other nominations from global WIND board 	Susi & Alexa	<ul style="list-style-type: none"> Build out mailing list & database - DONE Increase membership to 1400 (+100%) 	• Dec 23		
B) Expand WIND presence in Europe: <ul style="list-style-type: none"> WIND Board members expansion Ambassadors expansion 	Liaison with Nomination Ctte	<ul style="list-style-type: none"> 3 WIND Board members (Current: 2) 6-8 Ambassadors (Current: 3) 	• Dec 23		
C) Target events in UK and EU: <ul style="list-style-type: none"> 2-4 Marquee events p.a. Participate in larger industry conferences on panels where WIND can have a presence (ISDA, FIA, EUREX, others) Smaller roundtable discussions in various cities (London, Dublin, Frankfurt, Paris, Madrid) 	Simona, Vanessa & Nafisa	<ul style="list-style-type: none"> 2-4 Marquee events in 2023 (Prior Year: 1) Social Sporting Event (Prior Year: 0) Events outside of London in 2023 (Prior Year: 0) 	• Dec 23 + see Pipeline		

Executive Summary on Progress:

- WIND H2 Marquee Event: Nomura confirmed as host; continued work on speakers – Kay Swinburne invited but potentially unable to attend due to very busy schedule
- WIND Social Sporting event: Need to move date later in Sept/Oct due to instructor injury and further discussion over cost
- EU WG: Email sent to individuals to invite them to be a part of the WG – positive replies have already been received
- WIND Milan: Proposal to do a join event with Milan law firm and Assosim (*Associazione intermediari mercati finanziari*) which represents the interests of intermediaries active on the Italian financial markets – date most likely in November
- Post-Gala Event – tentative October 17 or 18 at the Gherkin Building [max 30 attendees]
- Smaller roundtable discussions still to be planned, perhaps part of task of new EU WG members
- FIA/IDX London WIND Event in June
- EUREX Frankfurt in May

Leadership Committee

Committee Members

Chair: Petal Walker

Committee Members Deborah North, Kyle Brandon, Karen Wares, Claudia Downing, Tracey Rucker-Wilson, Jackie Mesa, Cassandra Seier, Marisol Collazo, Joyce Thormann

Goals (1/23-12/23)	Leads	KPIs	Plan Date	Rating
Establish Cohorts	Karen, Julie, TRW, Claudia, Kyle, Tara, Julie, Ying, Deborah	Finalize lists of cohorts (done)	Q1	
Continue Cohort Program	Karen, Julie, TRW, Claudia, Kyle, Tara, Julie, Ying, Deborah	Host social/support/other events for the 3 cohorts	Q2	
Establish Allyship training	Karen, TRW	Conduct training sessions for Allies	Q2	
Establish Wolfpacks	Petal, Karen	Establish Wolfpacks (done) Host facilitator training (done)	Q2	
Revise Scholarship Offerings	Petal, Jackie, Deborah	Create new scholarship offering (done)	Q2	
Payout Scholarship Offerings	Petal, Jackie, Deborah	Initiate scholarship offering (done)	Q3	
Start Curriculum	Claudia, Kyle, Tara, Julie, Ying, Deborah	Start curriculum classes (done)	Q2	
Create Mentorship program	Petal	Create applications, timeline and guidelines	Q3	

Executive Summary on Progress

Cohort Program: Cohorts have begun and are in full swing. We have been conducting mid year surveys for feedback.

Allyship Program: Cohort 1 wrapped up and now in the process of reviewing applications for cohort 2.

Wolfpack Program: Packs are underway; had several facilitator meetings. Responding to facilitator feedback, we will be working with Dr. Baro to offer additional facilitator training and to offer branding sessions to all Wolfpack Members.

Scholarship Program: Scholarships have been established for high school girls. They have been posted on a clearinghouse site, and we have confirmed 2 partner organizations who will help recruit scholarship applications: Student Leadership Network and Greenwood Project.

Curriculum Program: Curricula are underway for each cohort.

Mentoring Program: Applications, guidelines and a timeline have been created. Looking to kick off the mentoring program next month. Need to coordinate a kickoff event.