



**WOMEN IN
FINANCIAL
MARKETS™**

Governance and Nominating Committee Meeting - Minutes

August 23, 2023

11:00am-12:00pm EST

Attendees: Tracey Jordal, Jackie Mesa, Cassandra Seier

Board Prospective Members

The Committee discussed the 6 board members with expiring terms by end of year. We believe all but Ying will renew for final term. That takes the board to 15. The Committee discussed a goal of 5 more board members, making the board 20 in 2024 with a priority still for board members in London and at least 1 new buy-side board member. The Committee also noted the expanded role of WiFM and the need to expand expertise.

Below were the potential board members discussed a short description of next steps:

Follow up from last meeting:

Citadel - Alyson Rodriguez – Tracey Rucker Wilson will meet with her first week of Sept. and report back.

Per Suis (via written input)

JPM - Eileen Herlihy <https://www.linkedin.com/in/eileenherlihy/> - would be good to have someone from JPM. This is Susi's top pick. Need to get to know her.

JPM – Emma Richardson – already discussed last time and Emma is too busy right now

Vanguard – Rachel Baxter - <https://www.linkedin.com/in/rachel-baxter-cfa-b2581647/?originalSubdomain=uk> – not right now. She is someone to stay in touch with but not experienced.

CloudMargin – Helen Nichol <https://www.linkedin.com/in/henicol/?originalSubdomain=uk>
The Committee has spoken to her in the past and has decided not the right fit.

TradeWeb – Serene Murphy <https://www.linkedin.com/in/serene-murphy-3798574/?originalSubdomain=uk>

TradeWeb – Liz Carter <https://www.linkedin.com/in/liz-carter-1510941/?originalSubdomain=uk>

LiquidNet - Nicola Hunter <https://www.linkedin.com/in/nichola-hunter-918ab2a/?originalSubdomain=uk>

Serene, Liz and Nicola are all interesting and we will contact Georgie for thoughts. Nicola Hunter had the most connections for all of us, perhaps indicating she is more well-known to us all. We will ask around internally.

CreditAgricole – Cristine Cremel <https://www.linkedin.com/in/christine-cremel-ba7823a/?originalSubdomain=fr>

Decided not to proceed at this time.

Per Marisol

Fidelity - Wendy John (NC) - <https://www.linkedin.com/in/fidelitywendyejohn/>

She would add the diversity expertise to the board and it is something we have wanted for some time. Connected to Petal. Jackie has reached out to Petal for her thoughts.

AllianceBernstein - Katie Oliverio (NY) <https://www.linkedin.com//katie-coneys-oliverio-a4776959/>

More of a Rising Star, will put on watch list.

Per Cassandra

Fidelity - Tara Pardo (NJ): <https://www.linkedin.com/in/tara-pardo/details/experience/>

Cassandra presented that she is a go-getter and would promote WiFMs and be a great fundraiser. We have put her on the maybe list.

Rabobank – Tamira Treffers-Herrera (Atlanta) [Tamira Treffers-Herrera | LinkedIn](#)

She is connected to Petal. Jackie has asked Petal her thoughts.

Per Jackie

Blackrock - Nafisa Yusuf (London) <https://www.linkedin.com/in/nafisa-yusuf/?originalSubdomain=uk>

She is too junior still and is viewed in same light as Simona Composta (GS) and Vanessa Battaglia (BoA). All are great but not ready for board.

Blackrock - Samantha DeZur (NY) <https://www.linkedin.com/in/samantha-dezur-5214bb23/>

Samantha is still on the short list. As we compose all the top candidates, she will be considered.

BNP - Victoria Greenberg (NY) - <https://www.linkedin.com/in/victoria-greenberg/>

Still in Rising Star category.

Per Kyle (via written input)

Would be good to have a board member from GS and Blackrock. Also mentioned ISDA.

Committee feels we do not need an ISDA board member as our connections are good with ISDA. We have looked for a Blackrock rep and have suggestions. Amy Hong was suggested. The Committee all agreed to follow up. Cassandra will send a note to Amy with board expectations to gauge interest.

All feedback will be collected and the list narrowed in the next month. Short list of potential candidates as of meeting end.:

Alyson Rodriguez, Eileen Herlighy, Helen Nichol, Serene Murphy, Liz Carter, Wendy John, Tara Pardo, Tamira Treffers-Herrar, Samantha DeZur, Amy Hong.

Post-Meeting, Georgie Dickins provided her primary choices for board members and she recommended Serene Murphy and Nicola Hunter. She also added Shreena Travis from the buyside. Thus, the board will following up on the following: Eileen Herlighy, Serene Murphy, Nicola Hunter, Samantha DeZur, and Amy Hong. We may also consider Shreena Travis and Alyson Rodriquez.

Jackie will provide an update of the current selection process at the next board meeting.

Other

We did not discuss board committees given Marisol's and Melody's absence. At the next meeting, we will get an update on Committees, Ambassadors and Advisory Council.

We also did not discuss board succession planning but will do so next meeting.